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2152  
03-29a

Attorney's Docket No. 003386.P016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of )

David L. Gilmour, et al. )

Serial No.: 09/704,374 )

Filing Date: November 1, 2000 )

For: WITHDRAWAL OF REQUESTS IF )  
TARGET NUMBER OF REQUESTS )  
RESPONSES RECEIVED )

Examiner: Not Yet Assigned

Art Unit: 2152

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

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Beverly Kehoe Shea

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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

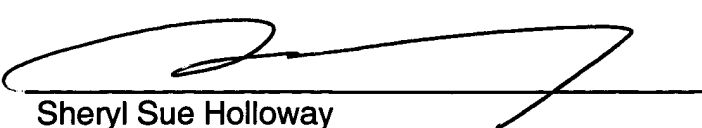
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: MARCH 20, 2002

  
Sheryl Sue Holloway  
Reg. No.37,850

Customer No. 008791  
12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026

(408) 720-8300

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 003386.P016	Application Number: 09/704,374
		First Named Inventor: David L. Gilmour, et al.	Group Art Unit: 2152
		Filing Date: November 1, 2000	Examiner: Not yet assigned

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		4,914,586		Swinehart et al.	4-3-1990	
		4,970,681		Bennett	11-13-1990	
		5,051,891		MacPhail	9-24-1991	
		5,247,575		Sprague et al.	9-21-1993	
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		5,251,159		Rowson	10-5-1993	
		5,276,869		Forrest et al.	1-4-1994	
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		5,428,740		Wood et al.	6-27-1995	
		5,438,526		Itoh et al.	8-1-1995	
		5,473,732		Chang	12-5-1995	
		5,481,741		McKaskle et al.	1-2-1996	

### FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		WO	97/02537		Massachusetts Institute of Technology	1-23-1997		
		WO	99/39279		Orbital Software Group Limited	8-5-1999		

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		5,488,725		Turtle et al.	1-30-1996	
		5,493,729		Nigawara et al.	2-20-1996	
		5,513,126		Harkins et al.	4-30-1996	
		5,530,852		Meske, Jr. et al.	6-25-1996	
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		5,696,965		Dedrick	12-9-1997	
		5,704,017		Heckerman et al.	12-30-1997	
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		5,717,923		Dedrick	2-10-1998	
		5,720,001		Nguyen	2-17-1998	
		5,724,567		Rose et al.	3-3-1998	
		5,727,129		Barrett et al.	3-10-1998	
		5,754,938		Herz et al.	5-19-1998	

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		5,778,364		Nelson	7-7-1998	
		5,794,210		Goldhaber et al.	8-11-1998	
		5,802,518		Karaev et al.	9-1-1998	
		5,812,434		Nagase et al.	9-22-1998	
		5,835,087		Herz et al.	11-10-1998	
		5,855,008		Goldhaber et al.	12-29-1998	
		5,867,799		Lang et al.	2-2-1999	
		5,892,909		Grasso et al.	4-6-1999	
		5,907,677		Glenn et al.	5-25-1999	
		5,913,212		Sutcliffe et al.	6-15-1999	
		5,931,907		Davies et al.	8-3-1999	
		5,950,200		Sudai et al.	9-7-1999	
		5,974,412		Hazlehurst et al.	10-26-1999	
		5,995,597		Woltz et al.	11-30-1999	
		5,999,932		Paul	12-7-1999	
		5,999,975		Kittaka et al.	12-7-1999	
		6,006,200		Boies et al.	12-21-1999	
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		6,021,439		Turek et al.	2-1-2000	
		6,026,374		Chess	2-15-2000	
		6,038,560		Wical	3-14-2000	
		6,044,376		Kurtzman, II	3-28-2000	
		6,052,122		Sutcliffe et al.	4-18-2000	

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		Number	Kind Code <sup>2</sup> (If known)			
		6,052,709		Paul	4-18-2000	
		6,052,714		Miike et al.	4-18-2000	
		6,064,980		Jacobi et al.	5-16-2000	
		6,112,186		Bergh et al.	8-29-2000	
		6,115,709		Gilmour et al.	9-5-2000	
		6,151,600		Dedrick	11-21-2000	
		6,175,831	B1	Weinreich et al.	1-16-2001	
		6,298,348	B1	Eldering	10-2-2001	

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Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Welcome to enonymous.com, Web page, "Be Privacy Aware...Be enonymous", <a href="http://www.enonymous.com/default.asp">http://www.enonymous.com/default.asp</a> .	
		Enonymous Web Page, "Why be enonymous?", <a href="http://www.enonymous.com/whybeenon.asp">http://www.enonymous.com/whybeenon.asp</a>	
		Enonymous Web Page, "What is enonymous advisor?", <a href="http://www.enonymous.com/whatisit.asp">http://www.enonymous.com/whatisit.asp</a> .	
		Enonymous Web Page, "How enonymous advisor beta works...", <a href="http://www.enonymous.com/howitworks.asp">http://www.enonymous.com/howitworks.asp</a> .	
		Enonymous Web Page, "The enonymous zone...", <a href="http://www.enonymous.com/zone.asp">http://www.enonymous.com/zone.asp</a> .	
		Enonymous Web Page, "the enonymous profile...", <a href="http://www.enonymous.com/profile.asp">http://www.enonymous.com/profile.asp</a> .	
		Enonymous Web Page, "Frequently Asked Questions", <a href="http://www.enonymous.com/faq.asp">http://www.enonymous.com/faq.asp</a> .	
		Yenta: A Multi-Agent, Referral-Based Matchmaking System", Leonard N. Foner, <u>The First International Conference on Autonomous Agents (Agents '97)</u> , Marina del Rey, CA, 1997.	
		"A Multi-Agent Referral System for Matchmaking", Leonard N. Foner, <u>The First International Conference on the Practical Applications of Intelligent Agents and Multi-Agent Technology</u> , London UK, April 1006.	
		"Clustering and Information Sharing in an Ecology of Cooperating Agents", Leonard N. Foner, <u>AAAI Workshop on Information Gathering in Distributed, Heterogenous Environments '95</u> , Palo Alto, CA 1995.	
		"Somewhat-by-topic linearization of Yenta," Leonard Foner, last modified February 11, 1997, <a href="http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html">http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html</a> .	
		"Political Artifacts and Personal Privacy: The Yenta Multi-Agent Distributed Matchmaking System", Leonard Newton Foner, April 30, 1999, © Massachusetts Institute of Technology, 1999.	

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		"Taking the byte out of cookies: privacy, consent, and the Web" Daniel Lin, and Michael C. Loui PP 39-51 -Proceeding of the ethics and social impact component on Shaping policy on the information age May 10-12, 1998	
		"A Security Policy Model for Clinical Information Systems " - Anderson, R. J- Security and Privacy, 1996, Proceeding IEEE Symposium, May 6-8 1996 PP 30-43	
		"Verity introduces new Profiler Kit and enhanced developer's Kit" IAC Newsletter Collection - M2 Presswire - 8/98	
		"Integrator's choice awards: Brambert, Dave; Biangi, Susan - Network VAR V5.n10 p 28 Oct 1997.	
		"IS Puts Notes to the Test", Datamation, Mark Schlack vol. 37, no. 15, pp 24-26, August 1, 1991.	
		"MAIL-MAN": A Knowledge-Based Mail Assistant for Managers", Journal of Organizational Computing", L.F. Motiwalla and J.F. Nunamaker, Jr., vol. 2, no. 2, pp 131-154, 1992 (abstract only).	
		"Topic Real-Time", HP-UX-Documentation Disc 50726-10186 (from Software Patent Institute Database of Software Technologies), February 1, 1995.	
		"askSam for Windows Getting Started Guide", (from Software Patent Institute Database of Software Technologies), first section, May 30, 1995.	
		"EZ Reader: Embedded AI for Automatic Electronic Mail Interpretation and Routing", Proceedings of the Thirteenth National Conference on Artificial Intelligence and the Eighth Innovative Applications of Artificial Intelligence Conference, A. Rice, J. Hsu, A. Angotti and R. Piccolo, vol. 2, pp 1507-1517, August 4-8, 1996 (INSPEC Abstract).	
		"Information Management for Knowledge Amplification in Virtual Enterprises", J. Numata et al., IEMC Proceedings, pp 281-285, August 18-20, 1996 (INSEC Abstract).	
		<a href="http://www.email-software.com/pages/00108.htm">http://www.email-software.com/pages/00108.htm</a> , review of "Emailrobot for Exchange/SMTP".	
		<a href="http://www.email-software.com/pages/00033.htm">http://www.email-software.com/pages/00033.htm</a> , review of "Signup V2.0"	
		"Knowledge Management: Fuel For Innovation", Bob Evans, CPM net Information Week On Line, October 20, 1997.	

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		"Knowing What We Know", Justin Hibbard, CPM net Information Week On Line, October 20, 1997.	
		"Knowledge Management Evaluation Scenario", Jeff Angus with Jeetu Patel and Joe Fenner, CPM net Information Week On Line, March 16, 1998.	
		"Knowledge Management Takes Industry's Center Stage", Elliot Maise, CMP net Computer Reseller News, February 2, 1998, Issue 774.	
		"Knowledge Management: Great Concept...But What Is It?", Jeff Angus, Jeetu Patel and Jennifer Harty, CMP net, Information Week On Line, March 16, 1998.	
		"Knowledge Management's Net Gain", Kevin Jones, ZD net, Inter@ctive Week, February 24, 1998.	
		"Open Sesame Site Just Works", Bill Burke, BusinessToday.com, <a href="http://www.opensesame.com">http://www.opensesame.com</a> , Today's Column, January 22, 1998.	
		"New eCommerce and Entertainment Web Site Demonstrates Leading Edge Personalization and Privacy Features" (press release), <a href="http://www.opensesame.com">http://www.opensesame.com</a> , January 20, 1998.	
		"Neutral Agent Enables Personalized Surfing", R. Colin Johnson, CMP net TechWeb, <a href="http://www.techweb.com">http://www.techweb.com</a> , February 4, 1998.	
		"Open Sesame and Verity Open Doors to Personalized Software", KMWorld.com, <a href="http://www.kmworld.com">http://www.kmworld.com</a> , February 6, 1998.	
		"Life Span vs Life Spam", George Gilder, Forbes ASAP, <a href="http://www.forbes.com/asap">http://www.forbes.com/asap</a> , April 6, 1998.	
		"Learn Sesame gets more personal", Jim Rapoza, PC Week Online, <a href="http://www.zdnet.com/pcweek/reviews">http://www.zdnet.com/pcweek/reviews</a> , March 18, 1998.	
		"Natrificial Software Technologies Unveils Internet Brain Publishing" (press release), Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> , June 9, 1998.	

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		"Natrificial Software Technologies Introduces The Brain" (press release), Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> , January 26, 1998.	
		"The Brain: Much More The Way You Think", Scot Finnie, CMP Net Windows Magazine, <a href="http://www.windowsmagazine.com">http://www.windowsmagazine.com</a> , May 1, 1998.	
		"Your Brain on Windows", Leslie Ayers, ZD Net Products, <a href="http://www.zdnet.com/products">http://www.zdnet.com/products</a> , April 1998.	
		"What's New", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> , 1998.	
		"Natrificial Software Technologies", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> .	
		"Always Thinking Ahead", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> .	
		"Digitize Your Mind", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> .	
		"It's Your Thought That Counts", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> .	
		"Knowledge is Power", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> .	
		"Free Your Mind", Natrificial Software Technologies, <a href="http://www.natrificial.com">http://www.natrificial.com</a> .	
		"Abuzz's Mission", Abuzz, <a href="http://www.abuzz.com">http://www.abuzz.com</a> .	
		"Beehive", Abuzz, <a href="http://www.abuzz.com">http://www.abuzz.com</a> .	
		"Beehive: Beta Program", Abuzz, <a href="http://www.abuzz.com/home/demos.htm">http://www.abuzz.com/home/demos.htm</a> .	
		"Beehive: White Papers", Abuzz, <a href="http://www.abuzz.com/home/white_papers.htm">http://www.abuzz.com/home/white_papers.htm</a> .	

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 003386.P016	Application Number: 09/704,374
	First Named Inventor: David L. Gilmour, et al.	
Filing Date: November 1, 2000		

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		Open Sesame, <a href="http://www.opensesame.com">http://www.opensesame.com</a> .	
		Open Sesame: The Company, <a href="http://www.opensesame.com/company.html">http://www.opensesame.com/company.html</a> .	
		Open Sesame Published Papers, <a href="http://www.opensesame.com/co_02.html">http://www.opensesame.com/co_02.html</a> .	
		Open Sesame: Agent Sourcebook, <a href="http://www.opensesame.com/co_03.html">http://www.opensesame.com/co_03.html</a> .	
		Open Sesame: Products; Learn Sesame, <a href="http://www.opensesame.com/products.html">http://www.opensesame.com/products.html</a> .	
		Open Sesame: Products; Why Personalize?, <a href="http://www.opensesame.com/prod_01.html">http://www.opensesame.com/prod_01.html</a> .	
		Open Sesame: Products: Benefits, <a href="http://www.opensesame.com/prod_02.html">http://www.opensesame.com/prod_02.html</a> .	
		Open Sesame: Products; Product Datasheet, <a href="http://www.opensesame.com/prod_04.html">http://www.opensesame.com/prod_04.html</a> .	
		Open Sesame: Products/Demos, <a href="http://www.opensesame.com/product_05.html">http://www.opensesame.com/product_05.html</a> .	
		Open Sesame: Products; Competitive Summary, <a href="http://www.opensesame.com/prod_03.html">http://www.opensesame.com/prod_03.html</a> .	
		e-Genie by Open Sesame: Your Entertainment Genie!, <a href="http://egenie.opensesame.com/">http://egenie.opensesame.com/</a> .	

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		Open Sesame: Products; eGenie Live!, <a href="http://www.opensesame.com/prod_06.html">http://www.opensesame.com/prod_06.html</a> .	
		Open Sesame: Commitment to Privacy, <a href="http://www.opensesame.com/privacy.html">http://www.opensesame.com/privacy.html</a> .	
		Open Sesame: Press, <a href="http://opensesame.com/press.cfm">http://opensesame.com/press.cfm</a> .	
		Open Sesame: Press; Reviews, <a href="http://www.opensesame.com/pr_02.html">http://www.opensesame.com/pr_02.html</a> .	
		Autonomy Knowledge Management Products, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
		Autonomy Latest News, Autonomy Home Page, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
		Autonomy Knowledge Server Data Sheet, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
		Autonomy Knowledge Update Data Sheet, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
		The Technology Behind Autonomy Agentware, Autonomy Technology Page, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
		Autonomy Agentware Technology White Paper, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
		"Autonomy Launches New Knowledge Management Products to Help Companies Leverage Employee Expertise, Late-Breaking News and Existing Information Archives", Autonomy Press Release, February 17, 1998, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
		"Autonomy Ships Agentware Products for Knowledge Management", Autonomy Press Release, April 14, 1998, <a href="http://www.agentware.com">http://www.agentware.com</a> .	
		"A Short Introduction to NPtool", Atro Voutilainen, A Short Introduction to NPtool, <a href="http://www.lingsoft.fi/doc/nptool/intro/">http://www.lingsoft.fi/doc/nptool/intro/</a> .	
		NPtool Intro: Overview, <a href="http://www.lingsoft.fi/doc/nptool/intro/overview.html">http://www.lingsoft.fi/doc/nptool/intro/overview.html</a> .	

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		NPtool Intro: Previous Work, <a href="http://www.lingsoft.fi/doc/nptool/intro/previous.html">http://www.lingsoft.fi/doc/nptool/intro/previous.html</a> .	
		NPtool Intro: NPtool inOutline, <a href="http://www.lingsoft.fi/doc/nptool/intro/outline.html">http://www.lingsoft.fi/doc/nptool/intro/outline.html</a> .	
		NPtool Intro: Syntactic Description, <a href="http://www.lingsoft.fi/doc/nptool/intro/syntax.html">http://www.lingsoft.fi/doc/nptool/intro/syntax.html</a> .	
		NPtool Intro: Performance, <a href="http://www.lingsoft.fi/doc/nptool/intro/performance.html">http://www.lingsoft.fi/doc/nptool/intro/performance.html</a> .	
		NPtool Intro: Conclusion and Acknowledgements, <a href="http://www.lingsoft.fi/doc/nptool/intro/conculsion.html">http://www.lingsoft.fi/doc/nptool/intro/conculsion.html</a> .	
		Orbital Technologies: Our Focus, <a href="http://www.oribtal-tech.com/">http://www.oribtal-tech.com/</a> .	
		Orbital Technologies: Orbital Products, <a href="http://www.orbital-tech.com/products.html">http://www.orbital-tech.com/products.html</a> .	
		Orbital Technologies: Organik KnowledgeWare, <a href="http://www.oribtal-tech.com/organikkw.html">http://www.oribtal-tech.com/organikkw.html</a> .	
		Orbital Technologies: About Orbital Technologies, <a href="http://www.orbital-tech.com/about.html">http://www.orbital-tech.com/about.html</a> .	
		Orbital Technologies: Organik Persona Server, <a href="http://www.orbital-tech.com/organikps.html">http://www.orbital-tech.com/organikps.html</a> .	
		Orbital Technologies: Corporate Fact Sheet, <a href="http://www.orbital-tech.com">http://www.orbital-tech.com</a> .	
		Orbital Technologies: Orbital Organik White Paper, July 1997, <a href="http://www.oribtal-tech.com/">http://www.oribtal-tech.com/</a> .	
		"Applying Evolutionary Algorithms to the Problem of Information Filtering", Tjoa et al., Proceedings of the 8th International Workshop on Database and Expert System, September 1-2, 1997, pp 450-458.	
		"Distributing PeCo-Mediator: Finding Partners via Personal Connections," IEEE International Conference on Systems, Man, and Cybermatics, 1996, Vol. 1, pp 802-807, October 14-17, 1996.	

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